

# **About Simplex Institute**

Simplex Institute was established in 2000 with the primary aim of providing customized educational and training modules for the financial and academic communities. Our management and instructors, each possessing deep academic background as well as extensive professional experience with major investment banks, deliver highest quality education modules utilizing proprietary learning tools such as our flagship VTS<sup>2</sup> series. We strive to work closely with our clients to cater to their training needs at various levels of sophistication, from new recruits to experienced specialists.

Simplex Institute is a member of the Simplex Group, and is a sister company to Simplex Asset Management and Simplex Technology.

## Management

#### Yusuke Ito: Chief Executive Officer

Formerly a Director of Japanese Equity Trading at Indosuez W.I. Carr (presently Calyon), where he was also instrumental in developing automatic execution system for equity trading. Prior to that, he was head of Japanese equity and derivative trading team at Salomon Brothers Asia Limited (presently Nikko Citigroup) in Tokyo. While a 12-year trading veteran, he has also taught mathematics at various levels for 15 years. Mr. Ito is a graduate of Waseda University and participated in the Doctoral Course in mathematics, also at Waseda University.

#### **Toshio Koike: Outside Director**

After serving Salomon Brothers as the Director and Head of Internal Audit at the Tokyo Branch, Toshio was engaged in advisory practices in compliance and risk management as a partner at EY Japan (Shin Nihon Audit Corporation), and has started an independent accounting service practice since 2010. He has authored several articles and books regarding risk management and internal controls (e.g. "Internal Controls for Financial Institutions" published in February 2006 by Kinzai, etc.) He is a CPA (Japanese) and CFA (US).BA Economics at University of Tokyo.

#### Nozomi Ando: Managing Director

Ms. Ando joined Simplex Technology, Inc. (presently Simplex, Inc.) in 2008. She joined Simplex Institute in 2014 and she is engaged in financial education, including seminars for personal investors. She is also an active individual trader, mainly of futures and options. A graduate of the University of Tokyo, faculty of education.

#### Takashi Yamada: Outside Director

Professor of Accounting and Finance, Global Business Faculty, Showa Women's University During his time as a fund manager, his managed fund was ranked No. 1 in performance among 364 domestic funds (Nikkei Financial News (currently Nikkei Veritas), 2002). In 2012, he received the "Best Performance Award for 10 Years" from the world-renowned investment evaluation organization Lipper for the fund he had managed for 12 years since its inception, and was awarded the President's Award by the company. Certified Securities Analyst, Certified Member of the Securities Analysts Association of Japan. Completed the doctoral program in Management Engineering, Department of Social and Human Sciences, Graduate School of Tokyo Institute of Technology. Doctor of Philosophy (Ph.D.). Part-time lecturer at Komazawa University Faculty of Economics.



### Nobuyuki Tsuboi: Auditor

Representative of TC Management. Docent of Keio University Graduate School of System Design Management. Associate member faculty of Keio Marunouchi City Campus. Mr. Tsuboi has 14 years of experience in equity research at Salomon Brothers Asia Ltd., UBS Warburg and Merrill Lynch. He was ranked R/U in all Japan analyst ranking survey conducted by Institutional Investor Magazine (2000, 2001). In 2007, he established his own business, TC Management. He is a graduate of University of Tsukuba, and received his MBA from Keio University Graduate School of Business Administration.